



Reference to Certification and Use of the Mark

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1. Document Control

Document status, approval, and confidentiality

Status	Version	Date	Confidentiality	Name	Role
Released	Version 6.0	May 28, 2026	Public	Sarah M. S. Seidel	Deputy Head of Certification Body for Management Systems

Approval and Amendment Procedures

Preparation, regular review, and updating	Review, approval	Frequency of regular review
Head of Certification Body for Management Systems	Head of Certification Body for Management Systems (Deputy)	Annually

Scope

This document applies to the management system certification body of PwC Certification Services GmbH, Friedrich-Ebert-Anlage 35-37, 60327 Frankfurt am Main.

Purpose of the Document

This document sets forth the provisions regarding certification documents, advertising with a certification, references to a certification and the certification status, as well as the use of certification documents, which must be complied with by every certified client. It is a binding supplementary provision to the offer for the certification of a client’s management system and is therefore part of the client’s engagement of the management systems certification body of PwC Certification Services GmbH.

Underlying standard

DIN EN ISO/IEC 17021-1:2015-11 Conformity assessment – Requirements for bodies providing audit and certification of management systems – Part 1: Requirements (ISO/IEC 17021-1:2015)

1. Document Control

Location

The current version of this document is available in SharePoint **PwC Cert Services GmbH – ZS MS | 05_Templates | E_Auditing and Certification** for all internal and external personnel of PwC Certification Services GmbH. This document is sent to clients along with the offer for certification of the management system. Furthermore, the current version is published at www.pwc-cert.com.

Version Control

Version	Date	Author	Role	Changes
Version 1.0	May 4, 2023	Sarah M. S. Seidel	Head of Certification Body for Management Systems (Deputy)	Initial creation of the document as part of the revision of the documentation.
Version 2.0	October 2, 2023	Sarah M. S. Seidel	Head of Certification Body for Management Systems (Deputy)	A certification mark from PwC Certification Services GmbH does not exist and is not currently planned; therefore, the term “certification documents” no longer refers to “certificate and certification mark,” but only to “certificate.”
Version 3.0	November 28, 2023	Sarah M. S. Seidel	Head of Certification Body for Management Systems (Deputy)	Change of address for PwC Certification Services GmbH.
Version 4.0	May 16, 2024	Sarah M. S. Seidel	Head of Certification Body for Management Systems	Addendum due to non-conformity identified in the DAkkS operational audit 03/2024 regarding the certification mark
Version 5.0	January 30, 2025	Sarah M. S. Seidel	Head of Certification Body for Management Systems	Only change of the storage location on this slide from “Google Drive” to “SharePoint”
Version 6.0	May 28, 2026	Sören Scholz	Head of Certification Body for Management Systems (Deputy)	Layout updated to reflect PwC’s new branding

Remark

The German version of this reference to certification and use of the mark shall be taken as authoritative. No guarantee can be given to the English translation.

2. Reference to Certification and Use of the Mark

Reference: DIN EN ISO/IEC 17021-1:2015-11 | 8.2.1, 8.2.2, 8.3.1 – 8.3.5

The management systems certification body of PwC Certification Services GmbH is required to conduct its auditing and certification activities in accordance with **DIN EN ISO/IEC 17021-1:2015-11 “Conformity assessment – Requirements for bodies providing audit and certification of management systems – Part 1: Requirements.”** The requirements of this standard give rise to the following provisions regarding certification documents, advertising with the certification, references to the certification and certification status, as well as the use of certification documents. These provisions must be complied with by every certified client.

1. Provision of Certification Documents and Right of Use

The Management System Certification Body of PwC Certification Services GmbH provides the certified client with certification documents in accordance with the requirements of DIN EN ISO/IEC 17021-1:2015-11. This consists of a certificate; a certification mark is not issued. The certification documents are the property of the Certification Body for Management Systems of PwC Certification Services GmbH. They may be used by the certified client for advertising the certification, as well as for referencing the certification and the

certification status by the certified client for the duration of the certificate's validity. This right of use is non-transferable. The right of use expires upon the expiration of the certificate's validity as well as in the event of premature suspension or withdrawal of the certification. The use of the corporate logo (figurative and/or word mark) of PwC Certification Services GmbH by the client is subject to the relevant provisions of the Standard Terms and Conditions of PwC Certification Services GmbH.

2. Provisions for Communication Media

When advertising the certification, referring to the certification and the certification status, and using the certification documents in communication media (e.g., the Internet, brochures, promotional materials, or other documents), the certified client must comply with the following provisions:

- When using the certification documents, the certified client must ensure traceability to the management systems certification body of PwC Certification Services GmbH. There must be no ambiguity in the certification documents themselves or in the accompanying text regarding what has been certified and which certification body granted the certification.
- The certification documents may not be used on products or product packaging, nor in any other manner that could be interpreted as a mark of product conformity. Statements regarding a client's certified management system on product packaging or accompanying information, as well as in other communication media, must refer to the identification of the certified client (e.g., brand, name), the type of management system (e.g., anti-corruption management system, information security management

system) and the applicable standard, as well as to the certification body that issued the certificate. The statement must not in any way imply that the product, process, or service is certified in this manner. Product packaging is defined as the part that can be removed without the product disintegrating or being damaged. Accompanying information is considered separately available or easily removable. Type plates or identification plates are considered part of the product.

- The certified client is not permitted to use the certification documents on laboratory test reports, calibration certificates, inspection reports, or certificates.
- The certified client is not permitted to make misleading statements regarding its certification. Likewise, the certified client is not permitted to use the certification documents or parts thereof in a misleading manner. For example, the certified client may not allow any reference to its management system certification that could implicitly suggest that the management system certification body of PwC Certification Services GmbH certifies a product, a service, or a process. Likewise, the certified client may not implicitly

2. Reference to Certification and Use of the Mark

2. Continuation of “Provisions for Communication Media”

imply that the certification applies to activities and locations that fall outside the scope of the certification.

- The certified client must modify all promotional materials that contain a reference to the certification or certification status if the scope of the certification has been restricted. Upon suspension or withdrawal of its certification, the certified client must cease the use of all promotional materials that

contain a reference to the certification or certification status.

- The certified client is prohibited from using its certification in a manner that brings the management system certification body of PwC Certification Services GmbH and/or the certification system into disrepute and undermines public confidence.

3. Incorrect or Misleading Reference to Certification and Use of the Mark

In the event of a violation of the provisions set forth in this document regarding certification documents, advertising with the certification, references to certification and certification status, as well as the use of certification documents—such as incorrect references to certification or certification status, or the misleading use of certification documents or audit reports—the Management System Certification Body of PwC Certification Services GmbH may take the following measures:

- Requests to the certified client to immediately implement corrections and corrective actions,
- Suspension or withdrawal of certification,
- Publication of the violation and, if necessary,
- legal action.

We look forward to a successful collaboration.